

12-18-01

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10/19/01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3703P002C
(maximum 12 characters)First Named Inventor Bhalchandra GhatateTitle: A Method and Apparatus For Managing FunctionsExpress Mail Label No. EL617209015USADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 74)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 21)
5. Oath or Declaration (Total Pages 4)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
 10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney (Copy from a Prior Application)
 11. English Translation Document (if applicable)
 12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
 13. Preliminary Amendment
 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
 16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
 17. Other: Express Mail Certification

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
 Of Prior Application No.: 09/210,340 Examiner Wayne Amsbury Group Art Unit 2171
 (which is a continuation/ divisional/ CIP of prior application no. _____,
 which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
 For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that Daman, Inc. (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. 9850 Frame No. 0435 (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
 or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME Daniel M. De Vos

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

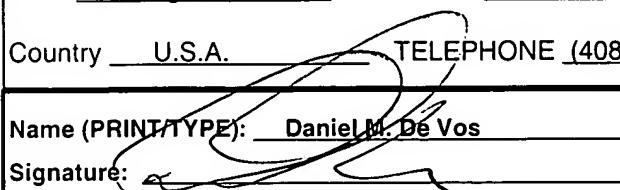
ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Daniel M. De Vos Registration No. 37,813

Signature:  Date: 10/19/01

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) \$ 740.00

Complete if Known:

Application No. New Patent Application

Filing Date Herewith

First Named Inventor Bhalchandra Ghatare

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket No. 3703P002C

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name Blakely, Sokoloff, Taylor & Zafman

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 _____ Money Order
 _____ Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code 101	Fee Code 201	Utility application filing fee	740.00
740	370		
Fee Code 106	Fee Code 206	Design application filing fee	
330	165		
Fee Code 107	Fee Code 207	Plant filing fee	
510	255		
Fee Code 108	Fee Code 208	Reissue filing fee	
740	370		
Fee Code 114	Fee Code 214	Provisional application filing fee	
160	80		

SUBTOTAL (1) \$ 740.00

2. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims 1	- 20** = 0	X 18.00	= 0
Independent Claims 1	- 3** = 0	X 84.00	= 0
Multiple Dependent			=

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee Code 103	Fee Code 203	Claims in excess of 20
18	9	
Fee Code 102	Fee Code 202	Independent claims in excess of 3
84	42	
Fee Code 104	Fee Code 204	Multiple dependent claim, if not paid
280	140	
Fee Code 109	Fee Code 209	**Reissue independent claims over original patent
84	42	
Fee Code 110	Fee Code 210	**Reissue claims in excess of 20 and over original patent
18	9	

SUBTOTAL (2) \$ 0

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

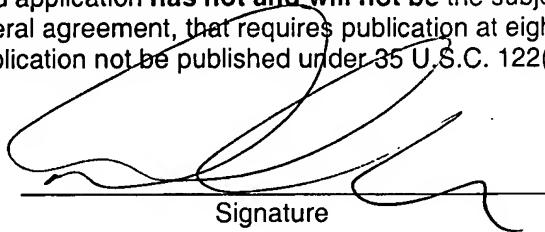
First Named Inventor Bhalchandra Ghatare
Title A Method and Apparatus For Managing Functions

Attorney Docket No. 3703P002C

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

01/19/01

Date



Signature

Daniel M. De Vos

Typed or Printed Name

37,813

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

100047349-1019024

"Express Mail" mailing label number:EL617209015US
Date of Deposit: October 19, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Carrie Boccaccini

(Typed or printed name of person mailing paper or fee)

Carrie Boccaccini

(Signature of person mailing paper or fee)

10-19-2001

(Date signed)

Serial/Patent No.: <u>****</u>	Filing/Issue Date: <u>Herewith</u>
Client: <u>Daman, Incorporated</u>	
Title: <u>A METHOD AND APPARATUS FOR MANAGING FUNCTIONS</u>	
BSTZ File No.: <u>3703P002C</u>	Atty/Secty Initials: <u>DMD/jw/cab</u>
Date Mailed: <u>October 19, 2001</u>	Docket Due Date: <u>****</u>
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:	
<input type="checkbox"/> Amendment/Response (____ pgs.)	
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	
<input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	
<input checked="" type="checkbox"/> Application - Rule 1.53(b) Continuation (<u>74</u> pgs.)	
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	
<input type="checkbox"/> Application - Design (____ pgs.)	
<input type="checkbox"/> Application - PCT (____ pgs.)	
<input type="checkbox"/> Application - Provisional (____ pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	
<input checked="" type="checkbox"/> Certificate of Mailing	
<input checked="" type="checkbox"/> Declaration & POA (<u>4</u> pgs.) (<u>signed copy</u>)	
<input type="checkbox"/> Disclosure Datas & Org & Cpy of Invenots Signed Letter (____ pgs)	
<input checked="" type="checkbox"/> Drawings: <u>21</u> # of sheets includes <u>28</u> figures	
<input checked="" type="checkbox"/> Express Mail No.: <u>EL617209015US</u> <input checked="" type="checkbox"/> Check No. <u>46032</u>	
<input type="checkbox"/> _____ Month(s) Extension of Time Amt: <u>\$740.00</u>	
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO 144 (5 pgs.) <input type="checkbox"/> Check No. _____	
<input type="checkbox"/> Issue Fee Transmittal Amt: _____	
<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Petition for _____	
<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Power of Attorney (____ pgs.)	
<input checked="" type="checkbox"/> Preliminary Amendment (<u>12</u> pgs.)	
<input type="checkbox"/> Reply Brief (____ pgs.)	
<input type="checkbox"/> Response to Notice of Missing Parts	
<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input checked="" type="checkbox"/> Transmittal Letter, in duplicate	
<input checked="" type="checkbox"/> Fee Transmittal, in duplicate	
<input checked="" type="checkbox"/> Other: <u>Request and Certification Under 35 C.F.R. 122(b)(2)(B)(1); Application Data Sheet; 23 Cited References.</u>	